# ACCESS PATH SELECTION IN A RELATIONAL DATABASE MANAGEMENT SYSTEM

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#### Overview

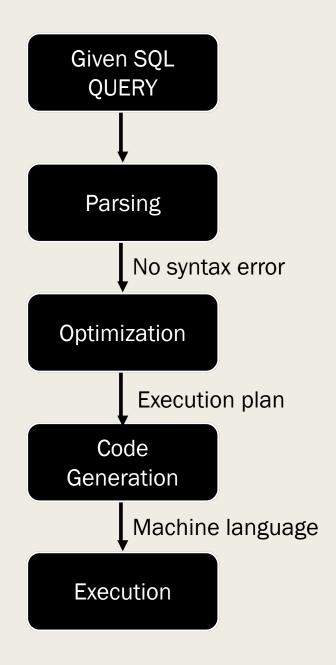
- Main topic: How system R chooses Access paths for queries?
  - System R:
    - Build by IBM
    - First implementation of SQL
  - Queries:
    - Simple (single relation)
    - Complex (like joins)
  - Principle to choose Access paths: minimizes total cost

# PROCESSING OF AN SQL STATEMENT

Four Phases

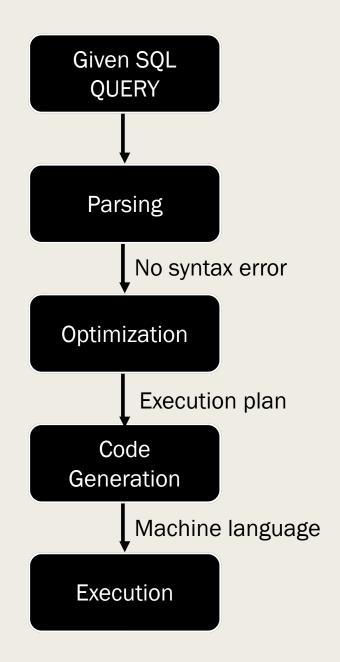
# Processing of an SQL statement (four phases)

- Parsing:
  - Accept a SQL query
  - check for correct syntax
- Optimization:
  - Catalog lookup:
    - Verify the existence of names of tables and columns in system R catalogs
    - retrieve information
    - Statistics of the referred relations
      - E.g.: datatype and length of each column
    - Check available access paths
  - Check for semantic error
  - Access path selection (execution plan)



# Processing of an SQL statement (four phases)

- Code generation
  - Translates execution plan into machine language
  - check for correct syntax
- Execution
  - Call the System R internal Storage System (RSS) to scan the storage location

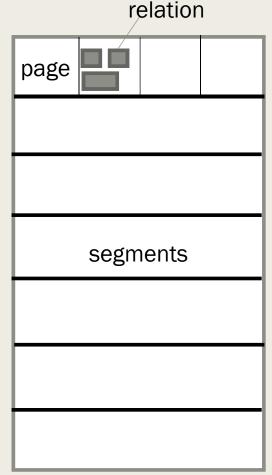


### THE RESEARCH STORAGE SYSTEM (RSS)

## The Research Storage System (RSS): A brief introduction rela

■ The RSS responsible for maintaining physical storage of:

- Relations
- Access paths on these relations
- Locking
- Logging
- Recovery facilities
- RSS structure:
  - Tuples composed relations
  - Relations stored in pages
  - Pages are organized into segments



# The Research Storage System (RSS): accessing tuples

- RSS scan: A scan returns a tuple at a time along a given access path.
- Two Types of scan:
  - Segment Scan: find all tuples of a given relation
    - All none empty pages in a segment will be touched for one time
  - Index Scan:
    - Index may be created by a system R on one or more columns relation
    - Stored in separate pages (not the pages containing the relations)
    - During the scan, <u>Index page</u> will be touched <u>only once</u>, but <u>data pages</u> may be touched <u>more than once</u>.

### ACCESS PATHS

#### Cost for single relation access paths

- COST = PAGE FETCHES + W \* (RSI CALLS)
  - PAGE FETCHES: Weighted measure of I/O and CPU utilizations
  - W: an adjustable weighting factor between I/O and CPU
  - RSI CALLS: predicted number of tuple return from the RSS
- Minimum cost path: a path need minimum resources (such as minimum number of tuples)

#### Access path selection for joins

#### Nested loops method

- E.g.: SQL: r join s
  - r is called the outer relation
  - s is called the inner relation
  - For each tuple in the outer relation:
    - Scan for the tuple satisfied the join condition in the inner relation

#### Merging scan method

- Require the outer and inner relations to be scanned in join column order
- Only applied to equi-joins
  - E.g. table1.column1 = table2.column2

#### Access path selection for joins

- M-way joins:
  - A sequence of 2-way joins
    - Join the first two relations, and use the result to join the third, and so on.
      - E.g. ((A JOIN B) JOIN C)
  - Nested loops joins and merge scan joins may be mixed
  - The cost of joining in different orders can be substantially different
    - E.g. different orders may apply different join methods.
  - A heuristic method to reduce the join order
    - Only consider the join order which have join predicates relating the inner relation to the other relations already participating in the join
    - E.g. given T1.col1 = T2.col2, and T2.col2 = T3.col3
      - There are no following permutations: **T1-T3-T2 & T3-T1-T2**

#### Computation of costs for joins

- The costs for joins are computed from the costs of the scans on each of the relations and the cardinalities
- Nested loop join cost:
  - C-nested-loop-join(path1, path2) = C-outer(path1) + N \* C-inner(path2)
- Merge scan join cost:
  - C-merge(path1, path2) = C-outer(path1) + N \* C-inner(path2)
- Meaning of symbols:
  - C-outer(path1): cost of scanning the outer relation via path 1
  - C-inner(path2): cost of scanning the inner relations via path 2, applying all applicable predicates
  - N: be the cardinality of the outer relation tuples, which satisfy the applicable predicates.

### **A EXAMPLE**

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NAME	DNO	JOB	SAL
SMITH	50	12	8500
JONES	50	5	15000
DOE	51	5	9500

DEPT

DNO	DNAME	LOC
50	MFG	DENVER
51	BILLING	BOULDER
52	SHIPPING	DENVER

JOB

JOB	TITLE
5	CLERK
6	TYPIST
9	SALES
12	MECHANIC

SELECT NAME, TITLE, SAL, DNAME

FROM EMP, DEPT, JOB
WHERE TITLE='CLERK'
AND LOC='DENVER'
AND EMP.DNO=DEPT.DNO
AND EMP.JOB=JOB.JOB

"Retrieve the name, salary, job title, and department name of employees who are clerks and work for departments in Denver."

Figure 1. JOIN example

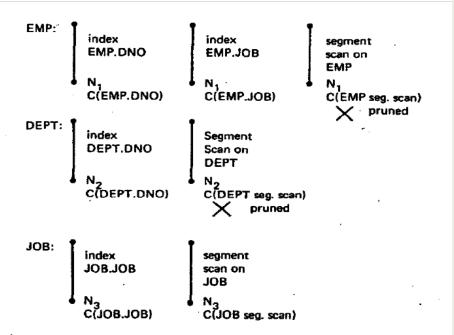
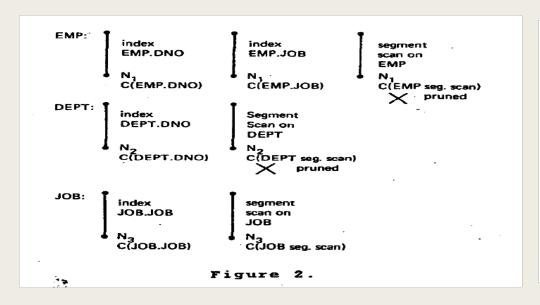
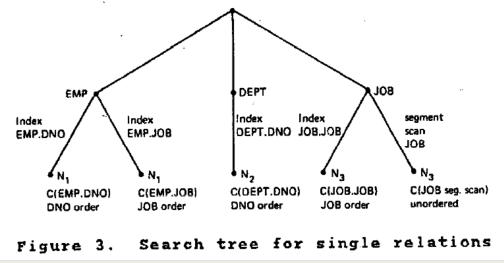


Figure 2.

## A walk through of an example of searching cheapest path

- On EMP table: assume the index on job is the cheapest path.
  - Segment scan is pruned
- On DEPT table: We assume the index on DNO is cheaper
  - Segment scan is pruned
- On JOB table: Segment scan is cheaper
  - Kept both





#### CREATE SEARCH TREE FOR SINGLE RELATIONS

#### (EMP. DEPT) (DEPT, EMP) (JOB, EMP) (EMP, JOB) Index segment Index Index EMP.JOB Index Index JOB.JOB EMP.DNO EMP.JOB EMP.DNO JOB index DEPT.DNO N<sub>2</sub> • $N_3$ Ν. $N_3$ N, N, N<sub>1</sub> Index Index Index Index Index Index Index **EMP.JOB EMP.JOB** JOB.JOB EMP.DNO DEPT.DNO JOB.JOB DEPT.DNO N₄ ♦ N<sub>5</sub> N<sub>5</sub> N<sub>5</sub> N, • N<sub>5</sub> ♦ N<sub>4</sub> C(D.DNO) C(J.JOB) C(J seg scan) C(E.JOB) C(E.DNO) C(E.JOB) C(E.DNO) N<sub>3</sub>C<sub>1</sub>(E.JOB) N<sub>2</sub>C<sub>D</sub>(E.DNO) N<sub>3</sub>C<sub>1</sub>(E,JOB) N, CF (J.JOB) N, CF (D.DNO) N,C=(JJOB) N<sub>1</sub>C<sub>E</sub>(D.DNO) JOB order DNO order JOB order unordered DNO order JOB order DNO order

Figure 4. Extended search tree for second relation (nested loop join)

#### Joining second relation to the single relations

- For (EMP, JOB) join, we assume that is cheapest by accessing Job on the job index.
- For (EMP, DEPT) join, we assume that the DNO relation is cheaper

SELECT NAME, TITLE, SAL, DNAME
FROM EMP, DEPT, JOB
WHERE TITLE='CLERK'
AND LOC='DENVER'
AND EMP.DNO=DEPT.DNO
AND EMP.JOB=JOB.JOB

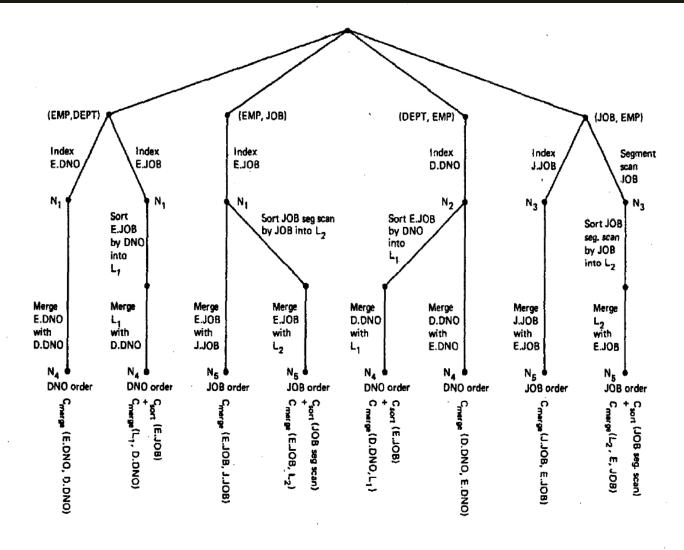


Figure 5. Extended search tree for second relation (merge join)

## Joining second relation to the single relations

- In DEPT table, the cheapest scan is in DNO order.
- In EMP table, the job index is the cheapest access path.

### NESTED QUERIES

SELECT NAME
FROM EMPLOYEE
WHERE SALARY =
(SELECT AVG(SALARY)
FROM EMPLOYEE)

If the operator is IN or NOT IN then
the subquery may return a set of values.
For example:
 SELECT NAME
 FROM EMPLOYEE
 WHERE DEPARTMENT\_NUMBER IN
 (SELECT DEPARTMENT\_NUMBER
 FROM DEPARTMENT
 WHERE LOCATION='DENVER')

#### NESTED QUERY

- A query may <u>appear as an</u> <u>operand</u> of a predicate of the form "expression operator query". Such a query is called a Nested Query or a Subquery.
- The optimizer will arrange the subquery to be evaluated at first.

#### **Correlation Subquery**

- Correlation Subquery: A subquery may contain a reference to a value obtained from a candidate tuple of a higher level query block
- A correlation subquery must in principle
   be re-evaluated for each candidate tuple
   from the referenced query block.

```
the query:

SELECT NAME

FROM EMPLOYEE X

WHERE SALARY > (SELECT SALARY

FROM EMPLOYEE

WHERE EMPLOYEE_NUMBER=

X.MANAGER)
```

### CONCLUSION

#### conclusion

- Describing the access path selection methods in system R
  - Single paths
  - For joins
    - Nested loop join method
    - Merging scan method
- How to calculate the cost of each type of paths?
  - COST = PAGE FETCHES + W \* (RSI CALLS)
- Nested Queries
  - Evaluate the lowest level to top level

Q & A